



5/12/03 Receipt  
28006 X6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nicholas B. MacKinnon, Ulrich Stange  
Serial No.: 09/661,602  
Filing Date: September 14, 2000  
Title: **APPARATUS AND METHODS DOE EVALUATING  
PERFORMANCE OF ENDOSCOPY DEVICES AND  
SYSTEMS**  
Attorney Docket No.: 1802-1-1

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 22nd day of November, 2002.

  
Signature

REQUEST FOR CORRECTION OF FILING RECEIPT

November 22, 2002

TO THE COMMISSIONER FOR PATENTS:

A Filing Receipt for the above-identified provisional patent application has been issued by the PTO. The Filing Receipt contains an error in the title of the application. The applicants have enclosed a corrected Utility Patent Application Transmittal Sheet with the correct title. Please correct the Filing Receipt by changing the title to "Apparatus and Methods For Evaluating Performance of Endoscopy Devices and Systems. This instant request provides the PTO with the correct data so that the records for this patent application may be appropriately updated.

- A copy of the PTO's Filing Receipt is included with this request.
- A corrected Utility Patent Application Transmittal Sheet.
- Correction is respectfully requested.
- A postcard is enclosed as acknowledgment of this document,

If the Patent and Trademark Office finds that any fees are required for this or any other reason, please charge any additional fees to Deposit Account No. 07-1897. Should the Patent and Trademark Office have any questions concerning this Request for Correction of Filing Receipt, a call to the undersigned is requested.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP



Joshua King  
Registration No. 35,570  
155-108th Avenue N.E., Ste 350  
Bellevue, WA 98004-5901  
(425) 455-5575



## CORRECTED TRANSMITTAL FORM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 1802-1-1

### CERTIFICATE OF MAILING

"Express Mailing" mailing label number: EJ754983978US

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14<sup>th</sup> day of September, 2000.

\_\_\_\_\_  
Signature

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

Enclosed for filing is the patent application of:

Inventor: Nick MacKinnon and Ulrich Stange

Title: APPARATUS AND METHODS FOR EVALUATING PERFORMANCE OF  
ENDOSCOPY DEVICES AND SYSTEMS

Including:

- 1. A specification including claims consisting of 43 pages.
- 2. Formal/informal drawings consisting of 8 sheets.

Additionally:

- 3. Declaration and Power of Attorney will follow.
- 4. An assignment of the invention to Tidal Photonics will follow.
- 5. A Verified Statement (Declaration) Claiming Small Entity Status will follow.
- 6. A filing date in accordance with 37 CFR 1.10 is requested.

(Express mail certificate is part of this transmittal letter).

7. COMPUTATION OF FEE

Claims	Number Filed	Number Extra	Basic Fee \$690/\$345
Total	58 - 20=	38	x\$18/\$9= \$342.00
Indep.	4 - 3=	1	x\$78/\$39= \$ 39.00
Multi Dep.	xxx	xxx	x\$260/\$130=
TOTAL Filing Fee: <u>\$726.00</u>			

Assignment Recording Fee (\$40.00): \$.00

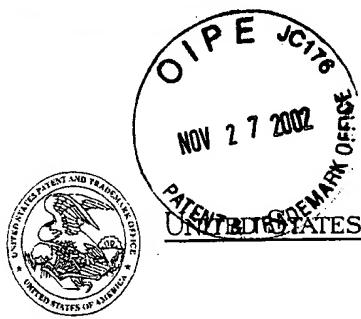
- 8. Check No. \_\_\_\_ for \$.00 for filing fee is enclosed.
- 9. Check No. \_\_\_\_ for \$.00 for assignment fee is enclosed.
- 10. No fee is enclosed at this time.
- 11. Charge any additional fees to Deposit Account No. 07-1897.
- 12. A copy of this letter is enclosed.
- 13. A postcard is enclosed as acknowledgment of receipt of this application.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

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Joshua King, Attorney for Applicant  
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(425) 455-5575



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/661,602	09/14/2000	3739	0	1802-1-1	8	58	4

Graybeal Jackson Haley LLP  
 155-108th Avenue NE Ste 350  
 Bellevue, WA 98004-5901

## FILING RECEIPT



\*OC000000005548488\*

Date Mailed: 11/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Nick Mackinnon, Residence Not Provided;  
 Ulrich Stange, Residence Not Provided;

## Continuing Data as Claimed by Applicant

## Foreign Applications

RECEIVED  
 NOV 20 2000

## Title

Apparatus and methods for evaluating performance of ~~illumination and imaging systems~~

GRAYBEAL JACKSON  
 HALEY LLP

Endoscopy Devices and Systems

## Preliminary Class

600

Data entry by : ARMSTEAD, LORRAINE

Team : OIPE

Date: 11/13/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231